

04/04/01  
J1046 U.S. PTO

11-6-1

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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04/04/01  
J1046 U.S. PTO

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 004320.P045  
(maximum 12 characters)

First Named Inventor Ronald R. Foster

Title: INTEGRATED BIOMETRIC SECURITY SYSTEM

Express Mail Label No. EL431686298US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original, and a duplicate for fee processing)
2.  **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3.  **Specification (Total Pages 15)**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  **Drawings(s) (35 USC 113) (Total Sheets 2)**
5.  **Oath or Declaration (Total Pages 4)**
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
    - i.  **DELETIONS OF INVENTOR(S)** Signed statement attached deleting  
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
    - c.  Unsigned.
6.  **Application Data Sheet. (37 CFR 1.76)**
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  **Assignment Papers (cover sheet & documents(s))**  
 10.  a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)  
 b. Power of Attorney  
 11.  English Translation Document (if applicable)  
 12.  a. Information Disclosure Statement (IDS)/PTO-1449  
 b. Copies of IDS Citations  
 13.  **Preliminary Amendment**  
 14.  **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**  
 15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)  
 16.  **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**  
 17.  Other: \_\_\_\_\_

**18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

Continuation       Divisional       Continuation-in-part (CIP)  
 Of Prior Application No.: \_\_\_\_\_ Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

(which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_,  
 which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_) (List entire chain of priority)

**For CONTINUATION AND DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

**19. Correspondence Address**

Customer Number or Bar Code Label \_\_\_\_\_  
 or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)  
 Correspondence Address Below

NAME Lance A. Termes

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

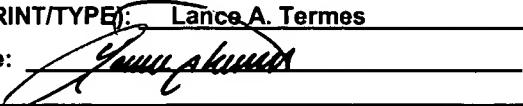
ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (425) 827-8600 FAX (425) 827-5644

Name (PRINT/TYPE): Lance A. Termes Registration No.: 43,184

Signature:  Date: APRIL 4, 2001

## FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) \$750.00

## Complete if Known:

Application No. not yet assignedFiling Date herewithFirst Named Inventor Ronald R. FosterGroup Art Unit not yet assignedExaminer Name not yet assignedAttorney Docket No. 004320.P045

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:Deposit Account Number 02-2666  
Deposit Account Name  Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.272.  Payment Enclosed:  Check  
 Credit Card  
 Money Order  
 Other

## FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>		
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)		
101	710	201	355	Utility application filing fee	710.00
106	320	206	160	Design application filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional application filing fee	

SUBTOTAL (1) \$ 710.002. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims <u>13</u>	<u>- 20** = 0</u>	<u>X</u>	<u>= 0.00</u>
Independent Claims <u>2</u>	<u>- 3** = 0</u>	<u>X</u>	<u>= 0.00</u>
Multiple Dependent			

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee Description</u>
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0.00

## FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	130	123	130
126	180	126	180
581	40	581	40
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900
Other fee (specify)			
Other fee (specify)			

**SUBTOTAL (3) \$ 40.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Lance A. Termes

Signature: Lance A. Termes Date: APRIL 4, 2001

Reg. Number: 43,184 Telephone Number: 425-827-8600

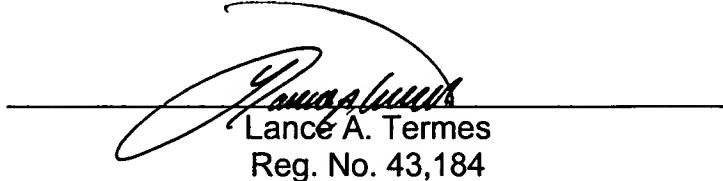
**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## INTEGRATED BIOMETRIC SECURITY SYSTEM

Inventors: Ronald R. Foster

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR &amp; ZAFMAN LLP



Lance A. Termes  
Reg. No. 43,184

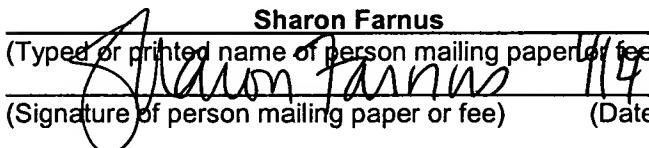
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09/826486  
04/04/01


"Express Mail" mailing label number: EL431686298USDate of Deposit: April 4, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Sharon Farnus

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee) 114/01 (Date signed)

Serial/Patent No.: new application Filing/Issue Date: herewith  
 Client: OmniVision Technologies  
 Title: INTEGRATED BIOMETRIC SECURITY SYSTEM

bstz File No.: 004320.P045 Atty/Secty Initials: LAT:sef  
 Date Mailed: \_\_\_\_\_ Docket Due Date: \_\_\_\_\_

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (\_\_\_\_pgs.)  Express Mail EL431686298US  Check No. 1210  
 Appeal Brief (\_\_\_\_pgs.) (in triplicate)  \_\_\_\_\_ Month(s) Extension of Time Amt: \$750.00  
 Application - Utility (15 pgs., with cover and abstract)  Information Disclosure  
 Application - Rule 1.53(b) Continuation (\_\_\_\_pgs.)  Statement & PTO-1449 (\_\_\_\_pgs.)  Check No. \_\_\_\_\_  
 Application - Rule 1.53(b) Divisional (\_\_\_\_pgs.)  Issue Fee Transmittal Amt: \_\_\_\_\_  
 Application - Rule 1.53(b) CIP (\_\_\_\_pgs.)  Notice of Appeal  
 Application - Rule 1.53(d) CPA Transmittal (\_\_\_\_pgs.)  Petition for Extension of Time  
 Application - Design (\_\_\_\_pgs.)  Petition for \_\_\_\_\_  
 Application - PCT (\_\_\_\_pgs.)  Postcard  
 Application - Provisional (\_\_\_\_pgs.)  Power of Attorney (\_\_\_\_pgs.)  
 Assignment and Cover Sheet  Preliminary Amendment (\_\_\_\_pgs.)  
 Certificate of Mailing  Reply Brief (\_\_\_\_pgs.)  
 Declaration & POA (4 pgs.)  Response to Notice of Missing Parts  
 Disclosure Docs & Orig &  Small Entity Declaration for Indep. Inventor/Small Business  
 Copy of Inventor's Signed Letter (\_\_\_\_pgs.)  Transmittal Letter, in duplicate  
 Drawings: 2 # of sheets includes 4 figures  Fee Transmittal, in duplicate  
 Other \*Certificate of mailing with copy of return postcard signed by attorney